

## ESTIMATING HOURLY REFERENCE EVAPOTRANSPIRATION FROM LIMITED WEATHER DATA BY SEQUENTIALLY ADAPTIVE RBF NETWORK

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**Abstract.** *This study investigates the utility of adaptive Radial Basis Function (RBF) networks for estimating hourly grass reference evapotranspiration (ET<sub>0</sub>) from limited weather data. Nineteen days of micrometeorological and lysimeter data collected at half-hour intervals during 1962-63 and 1966-67 in the Campbell Tract research site in Davis, California were used in this study. Ten randomly chosen days (234 patterns) were selected for the RBF networks training. Two sequentially adaptive RBF networks with different number of inputs (ANNTR and ANNTHR) and two Penman-Monteith equations with different canopy resistance values (PM42 and PM70) were tested against hourly lysimeter data from remaining nine days (200 patterns).*

*The ANNTR requires only two parameters (air temperature and net radiation) as inputs. Air temperature, humidity, net radiation and soil heat flux were used as inputs in the ANNTHR. PM equations use air temperature, humidity, wind speed, net radiation and soil heat flux density as inputs. The results reveal that ANNTR and PM42 were generally the best in estimating hourly ET<sub>0</sub>. The ANNTHR performed less well, but the results were acceptable for estimating ET<sub>0</sub>. These results are of significant practical use because the RBF network with air temperature and net radiation as inputs could be used to estimate hourly ET<sub>0</sub> at Davis, California.*

**Key words:** *evapotranspiration, neural networks, temperature, net radiation, lysimeters.*

### 1. INTRODUCTION

Accurate estimates of hourly reference evapotranspiration (ET<sub>0</sub>) are important for adequate management of irrigation systems. In the past several years many papers have evaluated various equations for calculating the hourly ET<sub>0</sub> (Ventura et al. 1999, Lecina et al. 2003, Berengena and Gavilan 2005, Allen et al. 2006, Lopez-Urrea et al. 2006, Gavilan et al. 2007, Trajkovic 2009b). These studies have indicated the superiority of the

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Penman-Monteith equation for estimating hourly  $ET_o$ . The Penman-Monteith equation has two advantages over many other equations. First, it can be used globally without any local calibrations due to its physical basis. Secondly, it is a well documented equation that has been tested using a variety of lysimeters. The FAO-56 Penman-Monteith combination equation (FAO-56 PM) has been recommended by the Food and Agriculture Organisation of the United Nations (FAO) as the standard equation for estimating reference evapotranspiration ( $ET_o$ ). The FAO-56 PM equation requires numerous weather data: air temperature, relative humidity, wind speed, net radiation and soil heat flux. The main shortcoming of this equation is that it requires numerous weather data that are not always available for many locations.

The purpose of this paper is to develop an adaptive Radial Basis Function (RBF) networks for hourly estimation of  $ET_o$  from limited weather data and to be able to accurately estimate hourly values of  $ET_o$  compared against lysimeter data.

In this paper, two sequentially adaptive RBF networks with different number of inputs (ANNTR and ANNTHR) and two FAO-56 Penman-Monteith equations with different canopy resistance values (PM42 and PM70) were evaluated against hourly lysimeter data from Davis, California.

## 2. MATERIALS AND METHODS

### 2.1. Study area and data collection

The Campbell Tract research site in Davis (38°32' N; 121 ° 46' W; 18 m above sea level) is characterized with the semiarid Mediterranean climate. Lysimeters in use at Davis consist of the two units. The weighting lysimeter was installed in 1958-59. This lysimeter is circular, 6.1 m in diameter, and a depth of 0.91 m. The floating drag-plate lysimeter, identical in size to the earlier one, was installed in 1962. In the period 1959-67 both lysimeters were in grass (perennial ryegrass, 1959-63; alta fescue, 1964-67) and were located about 52 m apart near the middle of 5.2 ha grass field. The soil in and around the lysimeters was disturbed Yolo loam. The grass was maintained at height between 8 and 15 cm until optimal water conditions. Irrigations were applied following a 0.075 m depletion of soil moisture. The  $ET_o$  data were measured in kg of weight loss from the weighting lysimeter and converted to standard units ( $1 \text{ kg h}^{-1} = 0.008554 \text{ mm h}^{-1}$ ). Comparison was made for the 1966-67 data with ET from the floating drag-plate lysimeter, and agreement within 2% was usual.

The micrometeorological data were taken from smoothen profiles (at heights of 50, 100, 140, and 200 cm) of temperature, humidity and wind. Wet- and dry-bulb thermopile sensors gathered the profile data for temperature and humidity. A separate system measured profiles of absolute humidity using an infrared hydrometer as the sensor. Thornthwaite cup anemometers gathered wind profile data. Net radiation was measured at 2 m above the grass surface with a forced-ventilated radiometer. The soil heat flux was measured as the mean of three heat flux plates buried at 0.01 m depth in the soil.

The available data were collected at half-hour intervals during 1962-63 and 1966-67 (Pruitt and Lourence 1965; Morgan et al. 1971). Nineteen days of micrometeorological and lysimeter data were used for training and testing RBF networks (Table 1). There were few nighttime data provided, so only data during daylight hours were analyzed. This data set had a total of 436 patterns.

**Table 1.** Daily micrometeorological and lysimeter data at Davis, CA

Date	Time	Number of patterns	Training /Testing	T (°C)	RH (%)	R <sub>n</sub> (kJm <sup>-2</sup> s <sup>-1</sup> )	U <sub>2</sub> (m s <sup>-1</sup> )	ET <sub>o,lys</sub> (mm day <sup>-1</sup> )
30/07/62	14.00-20.00	12	Training	26.5	38.5	0.234	4.0	5.11
31/07/62	06.00-18.30	23	Training	24.2	42.4	0.382	3.0	11.14
31/08/62	07.00-19.00	23	Training	26.2	41.6	0.333	1.2	8.32
30/10/62	10.00-17.00	15	Training	20.4	65.6	0.236	1.4	3.70
14/08/63	06.00-20.00	29	Training	27.1	36.6	0.290	2.0	11.76
15/08/63	06.00-19.30	28	Training	29.7	31.1	0.304	2.4	12.80
01/06/66	14.30-20.00	12	Testing	18.8	40.9	0.211	5.7	4.39
02/06/66	06.00-20.00	29	Training	17.7	43.3	0.343	2.9	11.60
03/06/66	06.00-20.00	29	Training	19.3	37.8	0.326	2.8	11.20
12/07/66	10.00-20.00	21	Testing	21.1	56.4	0.354	3.0	9.01
13/07/66	06.00-20.00	29	Testing	20.9	56.5	0.324	3.4	12.18
14/07/66	06.00-20.00	29	Testing	21.0	51.9	0.324	2.5	11.82
02/05/67	09.00-19.00	21	Training	18.7	47.4	0.385	2.6	8.31
03/05/67	12.30-19.00	14	Testing	19.0	46.4	0.296	2.5	5.33
04/05/67	07.00-19.00	25	Training	16.2	65.0	0.359	3.1	8.70
05/05/67	06.30-17.00	22	Testing	13.9	71.6	0.240	3.5	4.87
09/05/67	06.00-18.00	25	Testing	14.5	73.6	0.184	5.5	4.94
28/09/67	10.00-20.00	21	Testing	25.3	51.7	0.228	4.3	8.22
29/09/67	06.30-19.30	27	Testing	22.5	60.7	0.213	3.7	8.79

## 2.2. FAO-56 Penman-Monteith equation

The FAO-56 PM equation for hourly calculations can be expressed as (Allen et al. 1998):

$$ET_o = \frac{0.408\Delta(R_n - G) + \gamma \frac{37}{T + 273} U_2 (e_a - e_d)}{\Delta + \gamma(1 + \frac{r_c}{r_a})} \quad (1)$$

where  $ET_o$  = reference evapotranspiration (mm h<sup>-1</sup>);  $\Delta$  = slope of the saturated vapor pressure curve (kPa °C<sup>-1</sup>);  $R_n$  = net radiation (MJ m<sup>-2</sup> h<sup>-1</sup>);  $G$  = soil heat flux (MJ m<sup>-2</sup> h<sup>-1</sup>);  $\gamma$  = psychrometric constant;  $T$  = mean air temperature (°C);  $U_2$  = wind speed at a 2 meters height (m s<sup>-1</sup>);  $(e_a - e_d)$  = vapor pressure deficit (kPa),  $r_a$  = aerodynamic resistance (s m<sup>-1</sup>) and  $r_c$  = canopy resistance (s m<sup>-1</sup>).

The Allen et al. (1998) recommended the use of  $r_c = 70$  s m<sup>-1</sup> for hourly time period. However, using canopy resistance equal 42 s m<sup>-1</sup>, FAO-56 PM equation (PM42) best matched measured evapotranspiration in Davis (Ventura et al. 1999; Pruitt, personal communication, 2000). Todorovic (1999) found out that when the canopy resistance is calculated for Davis data by his model, the  $r_c$  values resulted in an average value of 40 s m<sup>-1</sup> for most days.

## 2.3. Artificial neural networks

ANNs offer a relatively quick and flexible means of modeling, and as a result, application of ANN modeling is widely reported in the evapotranspiration literature (Trajkovic et al. 2000; Kumar et al. 2002; Kisi 2006; 2007). Recent papers have reported that ANNs may offer a promising alternative for estimation of daily evapotranspiration from limited weather data (Sudheer et al. 2003; Trajkovic 2005, 2009, 2010; Trajkovic et

al. 2008, Zanetti et al. 2007). In this study, a sequentially adaptive Radial Basis Function (RBF) network from Trajkovic et al. (2003) was applied to estimating hourly  $ET_o$ .

Data set (436 patterns) was divided into two groups. For the RBF network training, ten randomly chosen days (234 patterns) were used (Table 1). For verification of RBF network, obtained in a stage of training, the remaining nine days (200 patterns) were used.

The RBF networks were trained with weather data as inputs, and  $ET_o$  as output. Two RBF networks with different number of inputs (ANNTHR and ANNTR) were considered. Air temperature, humidity, and  $(R_n - G)$  term were used as inputs in ANNTHR. As opposed to the Penman-Monteith equation, the ANNTHR did not use the wind speed for the  $ET_o$  calculation. After the completed training, ANNTHR has the following structure: in the input layer, there are three neurons which receive information on air temperature ( $T_a$ ), humidity (H), and  $(R_n - G)$  term, in the hidden layer, there are four neurons, and in the output layer, there is one neuron giving the  $ET_o$  value.

$$ET_{o,annhr} = \sum_{i=1}^4 a_i \exp \left[ - \left( \left( \frac{T_a - m_{i1}}{\sigma_{i1}} \right)^2 + \left( \frac{H - m_{i2}}{\sigma_{i2}} \right)^2 + \left( \frac{(R_n - G) - m_{i3}}{\sigma_{i3}} \right)^2 \right) \right] + \Theta \quad (2)$$

where  $a_i$  = weight of the  $i$ -th Gaussian basis function,  $m_{i1}$  = center of the  $i$ -th basis function for first input,  $\sigma_{i1}$  = width of the  $i$ -th basis function for first input,  $m_{i2}$  = center of the  $i$ -th basis function for second input,  $\sigma_{i2}$  = width of the  $i$ -th basis function for second input,  $m_{i3}$  = center of the  $i$ -th basis function for third input,  $\sigma_{i3}$  = width of the  $i$ -th basis function for third input, and  $\theta$  = bias ( $\theta = 0.06035$  for the ANNTHR).

The ANNTR requires only two parameters (air temperature and net radiation) as inputs. ANNTR did not use wind speed, relative humidity and soil flux density for estimating  $ET_o$ . After the completed training, ANNTR has the following structure: in the input layer, there are two neurons which receive information on air temperature and net radiation, in the hidden layer, there are five neurons, and in the output layer, there is one neuron giving the  $ET_o$  value.

$$ET_{o,anntr} = \sum_{i=1}^5 a_i \exp \left[ - \left( \left( \frac{T_a - m_{i1}}{\sigma_{i1}} \right)^2 + \left( \frac{R_n - m_{i2}}{\sigma_{i2}} \right)^2 \right) \right] + 0.4146 \quad (3)$$

#### 2.4. Evaluation parameters

Several parameters can be considered for the evaluation of  $ET_o$  estimates. In this study the following statistic criteria were used: root mean squared error (RMSE) and daily deviation (D). The RMSE values were calculated as:

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (ET_{o\_est,i} - ET_{o\_ly,i})^2} \quad (4)$$

where  $ET_{o\_est,i}$  = estimated half-hourly  $ET_o$ ,  $ET_{o\_ly,i}$  = half-hourly lysimeter  $ET_o$ , and  $n$  is number of observations. The RMSE value less than  $0.074 \text{ mm h}^{-1}$  is acceptable for most practical purposes (Ventura et al. 1999).

Daily deviation is estimated using equation:

$$D = \left( \frac{ET_{o\_est}}{ET_{o\_ly}} - 1 \right) 100 \quad (5)$$

where  $ET_{o\_est}$  = daily sum of half-hourly  $ET_o$  estimates,  $ET_{o\_ly}$  = daily sum of half-hourly lysimeter measurements.

## 3. RESULTS AND DISCUSSION

Two sequentially adaptive RBF networks with different number of inputs (ANNTR and ANNTHR) and two Penman-Monteith (PM) equations with different surface resistance values (PM42 and PM70) were compared against hourly lysimeter data from verification data set (nine days). The results of this comparison are presented in Table 2. The ANNTHR performed reasonable well for most days. This approach underestimated hourly  $ET_o$  for the second half of June 1, 1966, and midday of September 28, 1967, and overestimated first half of September 29, 1967. The  $D$  statistic was -14%, -11.1% and 1.3%, respectively.  $RMSE$  values were within acceptable range for all days excluding the September 28, 1967 ( $RMSE=0.095$  mm h<sup>-1</sup>), and September 29, 1967 ( $RMSE=0.079$  mm h<sup>-1</sup>). On average, ANNTHR underestimated hourly  $ET_{o,ly}$  by about 4% with  $RMSE$  value equal 0.058 mm h<sup>-1</sup>.

**Table 2.** Statistical summary of hourly  $ET_o$  estimates at Davis, CA

Date	Parameters	ANNTHR	ANNTR	PM70	PM42
01/06/66	$ET_{o,est}$ mm day <sup>-1</sup>	3.774	3.629	3.618	4.168
	$ET_{o,ly} = D$ (%)	-14.0	-17.3	-17.5	-5.0
4.387 mm day <sup>-1</sup>	$RMSE$ (mm h <sup>-1</sup> )	0.062	0.071	0.075	0.026
12/07/66	$ET_{o,est}$ mm day <sup>-1</sup>	8.744	9.311	7.616	8.370
	$ET_{o,ly} = D$ (%)	-2.9	+3.3	-15.5	-7.1
9.010 mm day <sup>-1</sup>	$RMSE$ (mm h <sup>-1</sup> )	0.039	0.037	0.086	0.049
13/07/66	$ET_{o,est}$ mm day <sup>-1</sup>	11.510	12.320	10.591	11.734
	$ET_{o,ly} = D$ (%)	-5.5	+1.1	-13.1	-3.7
12.182 mm day <sup>-1</sup>	$RMSE$ (mm h <sup>-1</sup> )	0.064	0.051	0.081	0.040
14/07/66	$ET_{o,est}$ mm day <sup>-1</sup>	11.783	12.245	10.510	11.388
	$ET_{o,ly} = D$ (%)	-0.3	+3.6	-11.1	-3.6
11.817 mm day <sup>-1</sup>	$RMSE$ (mm h <sup>-1</sup> )	0.040	0.033	0.068	0.037
03/05/67	$ET_{o,est}$ mm day <sup>-1</sup>	5.006	5.129	4.298	4.680
	$ET_{o,ly} = D$ (%)	-6.4	-3.7	-19.4	-12.2
5.328 mm day <sup>-1</sup>	$RMSE$ (mm h <sup>-1</sup> )	0.052	0.041	0.096	0.075
05/05/67	$ET_{o,est}$ mm day <sup>-1</sup>	4.816	5.014	4.224	4.877
	$ET_{o,ly} = D$ (%)	-1.0	+3.0	-12.8	+0.2
4.866 mm day <sup>-1</sup>	$RMSE$ (mm h <sup>-1</sup> )	0.024	0.026	0.044	0.024
09/05/67	$ET_{o,est}$ mm day <sup>-1</sup>	4.913	4.975	4.084	4.911
	$ET_{o,ly} = D$ (%)	-0.6	+0.7	-17.4	-0.6
4.941 mm day <sup>-1</sup>	$RMSE$ (mm h <sup>-1</sup> )	0.028	0.032	0.051	0.030
28/09/67	$ET_{o,est}$ mm day <sup>-1</sup>	7.306	8.483	7.314	8.094
	$ET_{o,ly} = D$ (%)	-11.1	+3.3	-11.0	-1.5
8.215 mm day <sup>-1</sup>	$RMSE$ (mm h <sup>-1</sup> )	0.095	0.080	0.073	0.044
29/09/67	$ET_{o,est}$ mm day <sup>-1</sup>	8.921	9.651	7.438	8.335
	$ET_{o,ly} = D$ (%)	+1.3	+9.6	-15.5	-5.3
8.806 mm day <sup>-1</sup>	$RMSE$ (mm h <sup>-1</sup> )	0.079	0.061	0.073	0.039
Average	$ET_{o,est}$ mm day <sup>-1</sup>	7.419	7.862	6.635	7.395
	$ET_{o,ly} = D$ (%)	-4.0	+1.7	-14.1	-4.3
7.728 mm day <sup>-1</sup>	$RMSE$ (mm h <sup>-1</sup> )	0.058	0.050	0.071	0.041

Estimates by ANNTR were in closest agreement with the grass  $ET$  for most days. ANNTR underestimated hourly  $ET_{o,ly}$  for the second half of June 1, 1966, and overestimated first half of September 28, 1967, and September 29, 1967 with  $D$  value of -17.3%,

3.3%, and 9.6%, respectively.  $RMSE$  values were within acceptable range for all days excluding the September 28, 1967 ( $RMSE=0.080 \text{ mm h}^{-1}$ ). On average, this approach showed slight deviation of 1.7% relative to the  $ET_{o,ly}$  with  $RMSE$  value equal to  $0.050 \text{ mm h}^{-1}$ .

The deviation of ANNTHR and ANNTR on June 1, 1966, September 28, 1967, and September 29, 1967 may be partly due to high wind speed (average wind speed was  $5.7$ ,  $4.3$  and  $3.7 \text{ m s}^{-1}$ , respectively) and low net radiation (average net radiation was  $0.211$ ,  $0.228$ , and  $0.213 \text{ kJ m}^{-2} \text{ s}^{-1}$ , respectively). The average wind speed only in one of ten training days exceeded  $3.1 \text{ m s}^{-1}$ , and the average net radiation was not less than  $0.234 \text{ kJ m}^{-2} \text{ s}^{-1}$  in any training day.

The ANNTHR and the ANNTR were especially successful on May 5, 1967, and May 09, 1967. These days had extreme values of micrometeorological data (the lowest air temperature, the highest relative humidity, very low net radiation and high wind speed). The ANNTHR and the ANNTR had the negligible departures from the  $ET_{o,ly}$ , even though the existence of the cloudiness produced high variations of the grass evapotranspiration during the day. The success is even greater, if it is emphasized that during the training days there were no days with such extreme values of the meteorological data.

The FAO-56 Penman-Monteith equation using the surface resistance  $r_c = 70 \text{ s m}^{-1}$  (PM70) was the poorest in estimating  $ET_o$  of all equations evaluated. The PM70 consistently underestimated hourly  $ET_{o,ly}$  for all days by about 14%. The  $RMSE$  values varied from  $0.044$  (May 5, 1967) to  $0.096 \text{ mm h}^{-1}$  (May 3, 1967). These results strongly support the introduction of new value for surface resistance in the hourly FAO-56 PM equation recommended by Allen et al. (2006).

The PM42 yielded the excellent estimate of the grass ET for most days. This method underestimated  $ET_{o,lys}$  during July 12, 1966, and May 3, 1967 with daily deviation of -7.1% and 11.7%, respectively.  $RMSE$  value for May 3, 1967 slightly exceeded acceptable level of  $0.074 \text{ mm h}^{-1}$  ( $RMSE = 0.075$ ). The PM42 consistently underestimated peak hourly  $ET_{o,lys}$  for all days by about 10%. On average, this method underestimated  $ET_{o,ly}$  by 4.3% with  $RMSE$  value equal to  $0.041 \text{ mm h}^{-1}$ .

The overall results indicate that ANNTR, ANNTHR, and PM42 give acceptable estimates of hourly  $ET_o$ . The ANNTR and PM42 were slightly better than ANNTHR at matching  $ET_{o,ly}$ . Figure 1 shows a comparison between estimated and measured  $ET_o$  on July 14, 1966.

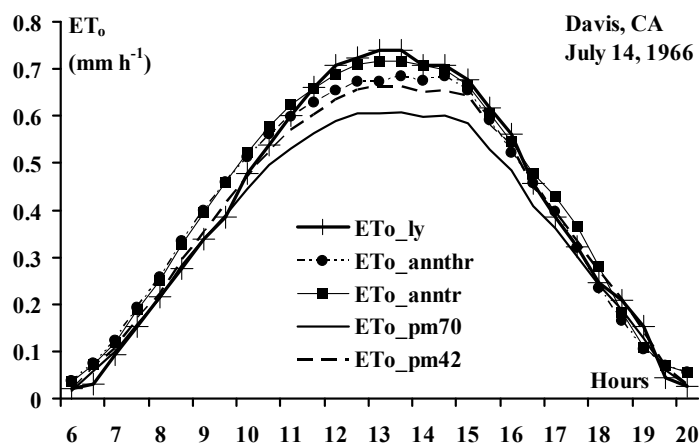


Fig. 1. Comparisons between estimated and measured  $ET_o$  at Davis on July 14, 1966

All of the equations paralleled  $ET_{o\_ly}$  through the day. The PM70 consistently underestimated  $ET_{o\_lys}$ . ANNTR, ANNTHR, and PM42 followed hourly  $ET_{o\_lys}$  quite closely through the day. The ANNTHR slightly overestimated  $ET_{o\_lys}$  in morning hours, and underestimated  $ET_{o\_ly}$  in midday. The ANNTR slightly overestimated  $ET_{o\_lys}$  in morning hours. The PM42 underestimated  $ET_{o\_ly}$  in midday.

#### 4. CONCLUSIONS

Two sequentially adaptive RBF networks with different number of inputs (ANNTR and ANNTHR) and two Penman-Monteith equations with different surface resistance values (PM42 and PM70) were tested against hourly daytime lysimeter data from Davis, CA.

The ANNTR requires only two parameters (air temperature and net radiation) as inputs. Air temperature, humidity, and  $(R_n-G)$  term were used as inputs in ANNTHR. PM equations use air temperature, humidity, wind speed, net radiation and soil heat flux as inputs. The results reveal that ANNTR and PM42 were generally the best in estimating hourly  $ET_o$ . The ANNTHR performed less well, but the results were acceptable for estimating  $ET_o$ .

This study indicates that the RBF network using limited weather data was able to reliably estimate hourly  $ET_o$  for a well-irrigated grass under different atmospheric conditions. The calculation of the hourly  $ET_o$  is possible only on the basis of the air temperature and the net radiation, without using the wind speed, humidity and soil flux density. These results are of significant practical use because the RBF network with air temperature and net radiation as inputs could be used to estimate hourly  $ET_o$  when relative humidity and wind speed data are not available. Although the RBF networks exhibit a tendency to obtain a generalized architecture, application of ANNTR to other areas needs to be studied.

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## PRORAČUN ČASOVNE REFERENTNE EVAPOTRANSPIRACIJE IZ MINIMALNOG BROJA KLIMATSKIH PODATAKA KORIŠĆENJEM SEKVENCIJALNE ADAPTIVNE RBF MREŽE

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*Ova studija istražuje pogodnost korišćenja adaptivnih RBF mreža za proračun časovnih referentnih evapotranspiracija iz minimalnog broja klimatskih podataka. Polučasovni podaci iz devetnaest dana sakupljenih 1962-63 and 1966-67 u Campbell Tract research site u Davis-u, California su korišćeni u ovoj studiji. Deset slučajno izabranih dana (234 podataka) su korišćeni za trening RBF mreža. Dve sekvencijalne adaptivne RBF mreže sa različitim brojem ulaza (ANNTR and ANNTHR) i dve Penman-Monteith jednačine sa različitim vrednostima površinskog otpora (PM42 and PM70) su testirane upoređivanjem sa lizimetarskim podacima sa preostalih devet dana (200 podataka). ANNTR zahteva samo dva parametra (temperatura vazduha i neto radijacija) kao ulaze. Temperatura vazduha, vlažnost vazduha, neto radijacija i zemljišni toplotni fluks su korišćeni kao ulazi za ANNTHR. Rezultati pokazuju da su ANNTR i PM42 najbolji u proračunu časovnih  $ET_0$ . Ovi rezultati su od velikog praktičnog značajazato što RBF mreža sa temperaturom vazduha i neto radijacijom može da se koristi za proračun časovne  $ET_0$ .*

*Ključne reči: evapotranspiracija, neuronske mreže, temperatura vazduha, neto radijacija, lizimetri.*